ADVANCE QUEENSLAND PHD SCHOLARSHIPS FINANCIAL INCENTIVE AGREEMENT TERMS AND CONDITIONS

(version 2016-17)

These Terms and Conditions form part of the Agreement made

BETWEEN

STATE OF QUEENSLAND acting through the Department of Science, Information Technology and Innovation (ABN 41 841 375 926) ("the Department")

AND

THE RECIPIENT named in the Application as the applicant organisation ("the Recipient")

BACKGROUND:

The Queensland Government has established the Advance Queensland PhD Scholarships program to support recipients undertaking three year PhD research projects. This lays the foundation for future research careers.

1. Relationship between the Parties

- 1.1 A Party is not by virtue of this Agreement the employee, agent or partner of the other Party and is not authorised to bind or represent the other Party.
- 1.2 This Agreement starts on the Agreement Execution Date and ends on the Agreement End Date.

2. Recipient Obligations

- 2.1 The Recipient agrees to support the Project in accordance with this Agreement.
- 2.2 In supporting the Project, the Recipient must:
 - (a) exercise reasonable diligence, care and skill;
 - (b) administer the Funding in accordance with the Agreement to support the Scholar to complete the Project;
 - (c) not replace the Scholar named in the Application with another person;
 - (d) complete the Project Deliverables by the relevant Deliverable due dates. This includes the provision of the required Reports, valid tax invoices, and the Thesis Submission Advice;



- (e) ensure that the Scholar completes the Project Research Milestones annually;
- ensure that the Scholar provides the Department with an electronic copy of the Thesis, if requested by the Department;
- (g) notify the Department of any matter that may affect the Scholar's eligibility for Funding under the Guidelines, including but not limited to:
 - (i) the cessation of the Primary PhD Scholarship; or
 - (ii) the cessation of the PhD enrolment;
- (h) notify the Department if the Scholar travels outside of Queensland for more than six weeks during the term of the Agreement;
- (i) notify the Department if the Scholar moves residence to outside of Queensland;
- (j) ensure that (where relevant):
 - the Project complies with National Health and Medical Research Council Guidelines;
 - (ii) the Project complies with the Code of Ethical Practice for Biotechnology in Queensland;
 - (iii) the Project is cleared by all relevant ethical committees prescribed by the Recipient organisation's research rules; and
 - (iv) evidence of compliance with this clause is provided, if requested by the Department;
- (k) not assign, transfer or subcontract its obligations;
- (I) notify the Department of any breach of these terms or any matter that may affect the performance of the Agreement; and
- (m) comply with all relevant laws.

3. Deliverables, records, access and audit

- 3.1 The Recipient agrees to:
 - (a) submit the Reports outlined in Item 12 of Schedule 1 by their due dates;

- (b) submit an interim Report if the project ends prematurely or if there are significant changes to the Project described in the Application;
- (c) maintain records of the expenditure of the Funding;
- (d) provide financial acquittal statements in relation to expenditure of the Funding, if requested by the Department;
- (e) provide information to the Department regarding the outcomes of the Project up to 24 months after submitting the Final Report, if requested by the Department;
- (f) provide any information reasonably requested by the Department, including the completion of surveys about the Project; and
- (g) allow the Department's employees, contractors and agents to access the premises and inspect records and documentation related to the Agreement and/or audit the performance of the Agreement, upon reasonable notice.

4. Payment

- 4.1 The Department agrees to pay the Funding to the Recipient upon the Recipient:
 - (a) achieving the relevant Project Deliverable;
 - (b) ensuring the Scholar achieves the relevant Project Research Milestone(s);
 - (d) providing any Reports, surveys and financial statements due in accordance with the Agreement;
 - (e) providing the Department with a valid tax invoice; and
 - (f) complying with this Agreement to the Department's reasonable satisfaction.
- 4.2 The Department may by notice withhold payment of any amount where it reasonably believes the Recipient has not complied with this Agreement or is unable to undertake any part of the Agreement.
- 4.3 A notice under clause 4.2 will contain the reasons for any payment being withheld and the steps the Recipient can take to address those reasons.
- 4.4 The Department will pay the withheld amount once the Recipient has satisfactorily addressed the reasons contained in a notice under clause 4.2.
- 4.5 The Department may defer or reduce the amount of a payment where a Project report or

financial acquittal statement reveals that the Recipient holds unspent Funding. The Department will pay the deferred or withheld funding upon receiving evidence of expenditure of the unspent Funding.

5. Repayment

- 5.1 If any of the Funding has been spent other than in accordance with this Agreement or any amount of the Funding is additional to program requirements, the Recipient agrees to repay that amount to the Department.
- 5.2 The amount to be repaid under clause 5.1 may be deducted by the Department from subsequent payments of the Funding.

6. Intellectual Property Rights

- 6.1 Nothing in this Agreement affects the ownership of Intellectual Property Rights in Material produced prior to, or independently of, this Agreement.
- 6.2 Subject to 6.3, the Department hereby expressly disclaims any legal or equitable interest in Project Material.
- 6.3 Intellectual Property Rights in Reports vest upon creation in the Department.

7. Acknowledgements

- 7.1 The Recipient agrees to acknowledge the Department's support in Public Statements published in connection with this Agreement.
- 7.2 The Department may publicly disclose the Recipient, Scholar, Funding and the general details of the Project, including the names of Partners, subject to notified commercial-inconfidence restrictions.
- 7.3 The Parties agree to make their officers available for media opportunities.

8. Privacy

8.1 When dealing with Personal Information in performing their obligations, the Parties agree not to do anything which, if done by the Department, would be a breach of an Information Privacy Principle.

9. Confidentiality

- 9.1 A Receiver of Confidential Information:
 - (a) must not, without the prior approval of the Discloser, use, make public or disclose to any person any Confidential Information;
 - (b) must immediately notify the Discloser if it

- becomes aware of any unauthorised use or disclosure of the Confidential Information;
- (c) must keep all Confidential Information in a secure location so that no unauthorised person is able to gain access to it;
- (d) may disclose Confidential Information only:
 - (i) to its personnel who are aware that the Confidential Information is confidential and are subject to the same obligations of confidentiality as the Receiver and only to the extent necessary to exercise its rights and perform its obligations under this Agreement;
 - (ii) to the extent required by law, provided that the Receiver must use its best endeavours to immediately notify the Discloser prior to the information being disclosed; and
 - (iii) to the Receiver's professional advisers;
- (e) will ensure that its personnel comply with any obligations of confidentiality in relation to the Confidential Information and will enforce those obligations in case of breach.

10. Dispute resolution

- 10.1 The Parties agree not to initiate legal proceedings in relation to a dispute unless they have tried and failed to resolve the dispute by negotiation.
- 10.2 The Parties agree to continue to perform their respective obligations under this Agreement while a dispute exists.
- 10.3 The procedure for dispute resolution does not apply to action relating to termination or urgent interlocutory relief.

11. Termination for default

- 11.1 The Department may terminate this Agreement immediately by notice where it reasonably believes the Recipient:
 - (a) has breached this Agreement, if the Department reasonably considers such breach is not capable of remedy;
 - (b) has submitted information in the Application for Funding which is found to have been false or misleading;
 - (c) has failed (or the Scholar has failed) to maintain satisfactory progress towards completion of the Project;
 - (d) has become (or the Scholar has become) ineligible for Funding under the Guidelines attached in Schedule 4;

- (e) has withdrawn (or the Scholar has withdrawn) from the Project; or
- (f) has become bankrupt or insolvent, entered into a scheme of arrangement with creditors, or come under any form of external administration.
- 11.2 The Department may immediately terminate this Agreement by notice, if the Recipient has failed to remedy a breach of the Agreement within 20 business days of service of a notice on the Recipient detailing the breach.
- 11.3 Upon termination or expiration of the Agreement, the Recipient must deliver to the Department (within 20 business days):
 - (a) all Reports due under the Agreement at the date of termination or expiration;
 - (b) the pro-rata amount of the Funding, calculated from the date of termination or expiration to the Completion Date, that the Recipient is not entitled to; and
 - (c) any unspent or legally uncommitted
 Funding, or Funding not spent in
 accordance with the Agreement, which will
 be a debt due to and recoverable by the
 Department.

12. Termination for convenience

- 12.1 The Department may terminate this Agreement by 20 business days' notice, due to a change in government policy.
- 12.2 The Recipient agrees on receipt of a notice of termination under clause 12.1, to stop the performance of its obligations as specified in the notice, and take all available steps to minimise loss resulting from that termination.
- 12.3 In the event of termination under clause 12.1, the Department will be liable only to:
 - (a) pay any part of the Funding due and owing for activities satisfactorily performed, to the Recipient under the Agreement at the date of the notice; and
 - (b) reimburse any reasonable expenses the Recipient unavoidably incurs that relate directly to the termination and are not covered by 12.3(a).
- 12.4 The Department's liability to pay any amount under this clause:
 - (a) is subject to the Recipient's compliance with this Agreement; and
 - (b) will not exceed the total amount of the Funding payable pursuant to this Agreement.

13. Indemnities

- 13.1 Subject to clause 13.4, the Department's liability in connection with this Agreement is limited in aggregate to the Funding amount.
- 13.2 The Recipient is liable for and indemnifies the Department against any claim, loss or damage arising in connection with:
 - (a) the Recipient and its employees, contractors and agents act or omission; or
 - (b) the Recipient's breach of the Agreement.
- 13.3 The Recipient's obligation to indemnify the Department will reduce proportionally to the extent any act or omission involving fault on the part of the Department contributed to the claim, loss or damage.
- 13.4 The Parties will not in any circumstances be liable for any loss of revenue, profit, opportunity, goodwill, reputation or any indirect or consequential loss in connection with the Agreement.

14. Insurance

14.1 The Recipient agrees to maintain adequate insurance, as outlined in Item 13 of Schedule 1 of the Agreement, for the duration of the Agreement. The Recipient must provide evidence of this insurance, if requested by the Department.

15. **GST**

- 15.1 Unless otherwise stated, all amounts payable are exclusive of GST.
- 15.2 A Party need not make a payment for a taxable supply until it receives a tax invoice (or adjustment note) for that supply.
- 15.3 The Parties agree to use all reasonable endeavours to assist each other in meeting their lawful obligations under the GST law.

16. General

- 16.1 **Governing Law** The Agreement is governed by the law of the State of Queensland and the Parties agree to submit to the jurisdiction of the courts of the State of Queensland.
- 16.2 **Entire Agreement** The Agreement constitutes the entire agreement between the Parties and supersedes all communications and negotiations (oral or written) between the Parties.
- 16.3 **Waiver** No right under this Agreement will be deemed to be waived except by notice in writing agreed by the waiving Party. A failure by a Party

- to enforce any provision of the Agreement will not constitute a waiver of that Party's rights.
- 16.4 Severability The invalidity or unenforceability of any provision of the Agreement does not invalidate the remaining provisions. Any illegal or invalid provision will be severable and all other provisions will remain in effect.
- 16.5 **Variation** This Agreement may be varied in writing only, signed by the Parties.
- 16.6 Force Majeure The time for a Party to meet its obligations under this Agreement may be suspended or extended in the event of a Force Majeure, but for no longer than the duration of the Force Majeure. The Party so affected will immediately give notice to the other Party in writing of the Force Majeure.

17. Definitions

17.1 In this Agreement, unless the contrary appears:

Agreement means the Terms and Conditions including the Schedules, the Application and Guidelines.

Agreement End Date means the date three months after the Completion Date, as set out in Item 9 of Schedule 1.

Agreement Execution Date means the date that the last Party signs the Agreement, as set out in Item 8 of Schedule 1.

Application means the Advance Queensland PhD Scholarships funding application document and any annexures to it, and attached in Schedule 5.

Commencement Date means the date specified in Item 6 of Schedule 1 of the Agreement being the date the Project starts.

Completion Date means the date specified in Item 7 of Schedule 1 of the Agreement as the date for completing the Project.

Confidential Information of a Party ("the Discloser") means information belonging to the Discloser and its activities of which the other Party ("the Receiver") becomes aware in connection with this Agreement or in the course of the Project that by its nature is confidential, is communicated as confidential or the Receiver knows or ought to know is confidential and includes information:

- (a) relating to any Intellectual Property Rights of the Discloser;
- (b) relating to the internal management and structure of the Discloser or the personnel, internal policies and strategies of the

Discloser;

- (c) that is of actual or potential commercial value to the Discloser; or
- (d) concerning the commercial operations, financial arrangements or affairs of the Discloser;
- (e) concerning the clients, affiliates and suppliers of the Discloser;but does not include information
- (f) which is publically known, other than by breach of this Agreement; or
- (g) which is lawfully received from a third party, by the Discloser prior to any obligation of confidentiality, or independently developed by a Party; or
- (h) that a Party is required by law, or by this Agreement, to disclose.

Deliverables means the performance requirements set out in Schedule 2.

Department means the State of Queensland as represented by the Department of Science, Information Technology and Innovation, and includes, where relevant, its officers, employees, contractors and agents.

Final Report means a Report the Scholar is required to prepare and the Recipient is required to endorse and submit to the Department in a format notified by the Department, in accordance with Item 12 of Schedule 1.

Force Majeure means any circumstance beyond the reasonable control of a Party which results in that Party being unable to observe or perform on time an obligation under this Agreement including but not limited to:

- (a) acts of God, lightning, industrial disputes beyond the reasonable control of a Party, earthquakes, floods, storms, explosions, fires and any natural disaster;
- (b) acts of war, acts of public enemies, terrorism, riots, civil commotion, malicious damage, sabotage and revolution; and
- (c) industrial strikes.

Funding means the money, or any part of it, payable by the Department to the Recipient as specified in the Agreement.

Guidelines means the Advance Queensland PhD Scholarships program guidelines document published by the Department, and attached in Schedule 5.

Information Privacy Principle has the same meaning as in the *Information Privacy Act 2009*.

Intellectual Property Rights means any:

- (a) invention or discovery;
- (b) manner, method or process of manufacture;
- (c) drawing or design;
- (d) improvement;
- (e) patent, application for a patent, or right to apply for a patent;
- (f) common law or registered trademark; and
- (g) copyright or other rights in the nature of copyright subsisting in any works including reports, software and circuit layouts.

Material includes documents, equipment, software (including source code and object code versions), goods, information and data stored by any means including all copies and extracts of them.

Partner means an organisation identified as a partner in the Application.

Party means the Recipient or the Department, as the context requires.

Personal Information has the same meaning as in the *Information Privacy Act 2009*.

Primary PhD Scholarship means a

- (a) 2017 Australian Postgraduate Award; or
- (b) other PhD funding that equals or exceeds the value of a 2017 Australian Postgraduate Award.

Progress Report means a Report the Scholar is required to prepare and the Recipient is required to endorse and submit to the Department in a format notified by the Department, in accordance with Item 12 of Schedule 1.

Project means the Advance Queensland PhD Scholarship research project outlined in the Application and described in Schedule 1.

Project Deliverables means the Project deliverables set out in Schedule 2;

Project Material means all the material including but not limited to documents, computer software, and data stored by any means which is created by the Scholar in the course of undertaking the Project.

Project Research Milestones means the Project research milestones set out in Schedule 3;

Public Statement means any formal statement or address by the Recipient or on the Recipient's behalf in relation to the Project which is intended for the public domain, including:

- (d) media releases about the Project;
- (e) discussions about the Project with television or newspaper journalists;
- (f) presentations at conferences about the Project;
- (g) promotional and advertising material; and
- (h) any recording or publication resulting from the Project (including, without limitation, reprints) which is intended to be distributed into the public domain.

Recipient means the applicant organisation specified in the Application to carry out the Project and includes, where relevant, its officers, employees, contractors and agents.

Report means a written report in a format notified by the Department which includes:

- (a) an outline of the key research findings of the Project;
- (b) an outline of the implications of the findings for Queensland, the Partner(s) and the Scholar's field of research;
- (c) the completion rate of the annual Project Research Milestones listed in Schedule 3;
- (d) a statement of the general Project outcomes achieved;
- (e) the number of specific outcomes completed, including but not limited to, the numbers of: refereed publications accepted; patents filed or approved; new collaborations; additional grants secured; higher degree students supervised; new products, services or practices created; and new spin-out or start-up companies created.

Schedule means a schedule to the Terms and Conditions.

Scholar means the person named in the Application as the Scholar who is the primary researcher supported under the Agreement.

Terms and Conditions means the terms and conditions contained in this document.

Thesis means the thesis produced as a result of the Project.

Thesis Submission Advice means written advice from the University confirming lodgement of the Thesis for examination.

University means the university where the Scholar is enrolled to undertake the PhD Project, as outlined in the Application and in Item 3 of Schedule 1.

17.2 In these Terms and Conditions, except where the context otherwise requires:

- (a) a reference to a Party, includes the Party's executors, administrators, successors, and substitutes;
- (b) the meaning of general words is not limited by specific examples;
- a reference to a government entity includes any successor or replacement government entity;
- (d) a reference to a document includes the document as altered, amended or replaced from time to time;
- (e) a rule of construction does not apply to the disadvantage of a Party because that Party drafted the Terms and Conditions; and
- (f) where an obligation must be performed on a day that is not a business day, the obligation must be performed on or by the next business day.

18. Notices

- 18.1 The Parties agree to notify the other Party of anything reasonably likely to affect the performance of this Agreement, or as otherwise required under this Agreement.
- 18.2 Any written notice must be sent to the respective addresses outlined in Item 14 of Schedule 1.

19. Survival

- 19.1 The following clauses survive the termination or expiry of this Agreement:
 - (a) Clause 3 Deliverables, records, access and audit
 - (b) Clause 5 Repayment
 - (c) Clause 6 Intellectual Property Rights
 - (d) Clause 7 Acknowledgements
 - (e) Clause 8 Privacy
 - (f) Clause 9 Confidentiality
 - (g) Clause 11 Termination for default
 - (h) Clause 12 Termination for convenience
 - (i) Clause 13 Indemnities
 - (j) Clause 15 GST
 - (k) Clause 16 General, and
 - (I) Clause 19 Survival.

SCHEDULE 1 – PROJECT DETAILS

1.	Recipient	The applicant Organisation named in the Application.		
2.	Scholar's name	The Scholar named in the Application.		
3.	University	The University named in the Application.		
4.	Project title	The Project Title from the Application.		
5.	Project description	The Project Description from the Application.		
6.	Commencement Date	A date in 2017 to be confirmed if the Application is successful.		
7.	Completion Date	3 years and 6 months after the Commencement Date.		
8.	Agreement Execution Date	The date that the last Party signs the Agreement.		
9.	Agreement End Date	3 years and 9 months after the Commencement Date.		
10.	Advance Queensland PhD Scholarships Funding	\$42,500 (GST exempt) over three years.		
		Optional: \$2,500 (GST exempt) if the Thesis is submitted within 3.5 years of the Commencement Date.		
11.	Advance Queensland PhD Scholarships Funding instalments	Payment 1: \$15,000 (GST exempt)		
		Payment 2: \$15,000 (GST exempt)		
		Payment 3: \$10,000 (GST exempt)		
		Payment 4: \$2,500 (GST exempt)		
		Payment 5 (optional): \$2,500 (GST exempt)		
12.	Reporting	Progress Report 1 due 12 months after the Commencement Date.		
		Progress Report 2 due 24 months after the Commencement Date.		
		Final Report due 36 months after the Commencement Date.		
13.	Insurance cover	Public liability insurance for the amount of \$10,000,000, in respect of each claim.		
		Workers' compensation insurance for the Recipient's employees in accordance with the Workers' Compensation and Rehabilitation Act 2003 (Qld), as amended 29 Oct 2013.		
14. Contact Officers For the Department:		For the Department:		
		Stephen Lamb Director Contract and Investment Management Department of Science, Information Technology and Innovation (Science Development) Level 3, EcoSciences Precinct Block B 41 Boggo Road, Dutton Park QLD 4102 GPO BOX 5078, Brisbane QLD 4001 Telephone: (07) 3170 5865 sciencefunding@dsiti.qld.gov.au For the Recipient:		
		Recipient details to be added if the Application is successful.		

SCHEDULE 2 – PROJECT DELIVERABLES

	Project Deliverable	Deliverable due date	
1	 Confirmation by the Recipient of the details in Schedule 1 (Project details) and Schedule 3 (Project Research Milestones). The approval of the Application as evidenced by the execution of the Agreement by the delegated officer from the Department. The provision of a valid tax invoice. 	Following the approval of the Application by the Department, or the Commencement Date, whichever is the later.	The release of payment 1.
2	 The submission of a satisfactory first Progress Report. The provision of a valid tax invoice. 	12 months after the Commencement Date.	The release of payment 2.
3	 The submission of a satisfactory second Progress Report. The provision of a valid tax invoice. 	24 months after the Commencement Date.	The release of payment 3.
4	 The submission of a satisfactory Final Report. The provision of a valid tax invoice. 	36 months after the Commencement Date.	The release of payment 4.
5	 The submission of the PhD Thesis for examination, as evidenced by the lodgement of a copy of the Thesis Submission Advice or other evidence of PhD Thesis submission, satisfactory to the Department. The provision of a valid tax invoice. 	42 months after the Commencement Date	The release of payment 5 and the finalisation of the Project.

SCHEDULE 3 – PROJECT RESEARCH MILESTONES

SCHEDULE 4 – GUIDELINES

SCHEDULE 5 – APPLICATION

FOR REFERENCE ONLY



For successful applicants, this page (submitted with the application) becomes the Financial Incentive Agreement execution page.

ADVANCE QUEENSLAND PhD SCHOLARSHIPS PROGRAM FINANCIAL INCENTIVE AGREEMENT DECLARATION



With reference to this Application for an Advance Queensland PhD Scholarship, I the nominated Scholar in the Application:

- authorise the Department of Science, Information Technology and Innovation ("the Department") to undertake any
 necessary checks necessary to assess the Application, subject to any written notification as to confidentiality provided to
 the Department;
- 2. declare that:

in the presence of:

- (a) the information supplied in this Advance Queensland PhD Scholarships Application is true, accurate and not misleading to the best of my knowledge;
- (b) I have received no guarantees or assurances that this Advance Queensland PhD Scholarships Application will be approved by the Queensland Government; and
- 3. acknowledge that if this Advance Queensland PhD Scholarships Application is successful I will be bound by the Advance Queensland PhD Scholarships Financial Incentive Agreement Terms and Conditions (version 2016-17), a copy of which was available on the Department's website when the Application was completed.

SIGNED by the nominated Scholar: Nominated Scholar's name Nominated Scholar's **signature** date We, the applicant organisation: 1. acknowledge that if this Advance Queensland PhD Scholarships Application is successful we will be bound by the Advance Queensland PhD Scholarships Financial Incentive Agreement Terms and Conditions (version 2016-17), a copy of which was available on the Department's website when the Application was completed; and 2. agree that when the Financial Incentive Agreement execution page is signed by the delegated officer from the Department of Science, Information Technology and Innovation ("the Department"), a legally binding agreement will exist between the applicant organisation and the State of Queensland (represented by the Department) consisting of the following: (a) the Advance Queensland PhD Scholarships Application and any supporting information, (b) the Advance Queensland PhD Scholarships Guidelines, and (c) the Advance Queensland PhD Scholarships Financial Incentive Agreement Terms and Conditions (version 2016-17). SIGNED for and on behalf of the applicant organisation: Applicant organisation Applicant organisation's date representative's signature representative's name

.....

name of witness

.....

signature of witness

FOR REFERENCE ONLY



ADVANCE QUEENSLAND PhD SCHOLARSHIPS PROGRAM

FINANCIAL INCENTIVE AGREEMENT EXECUTION PAGE

DEPARTMENT OF SCIENCE, INFORMATION TECHNOLOGY AND INNOVATION

This page will be completed if the Application for an Advance Queensland PhD Scholarship is **successful**. Once signed by the delegated departmental officer a legally binding agreement will be created between the Recipient (the applicant organisation named in the Application) and the State of Queensland (represented by the Department), such agreement consisting of the Application and any supporting information, the program Guidelines and the Advance Queensland PhD Scholarships Financial Incentive Agreement Terms and Conditions (version 2016-17).

Scholar's Name:		
Recipient's Name:		
Application Number:		
Department", ABN: 41 841 375 926	he Department of Science, Information Technology 6) approves Advance Queensland PhD Scholars o be awarded to the Recipient to support the S	hips funding not exceeding the
By signing this page the State of Quagreement with the Recipient cons	neensland (represented by the Department) er isting of:	iters into a legally binding
(b) the Advance Queensland PhD S	cholarships Application and any supporting inf cholarships Guidelines, and cholarships Financial Incentive Agreement Ter	
Department of Science Information Technology and Innovation representative's name	Department of Science Information Technology and Innovation representative's signature	date
in the presence of:	name of witness	signature of witness